

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

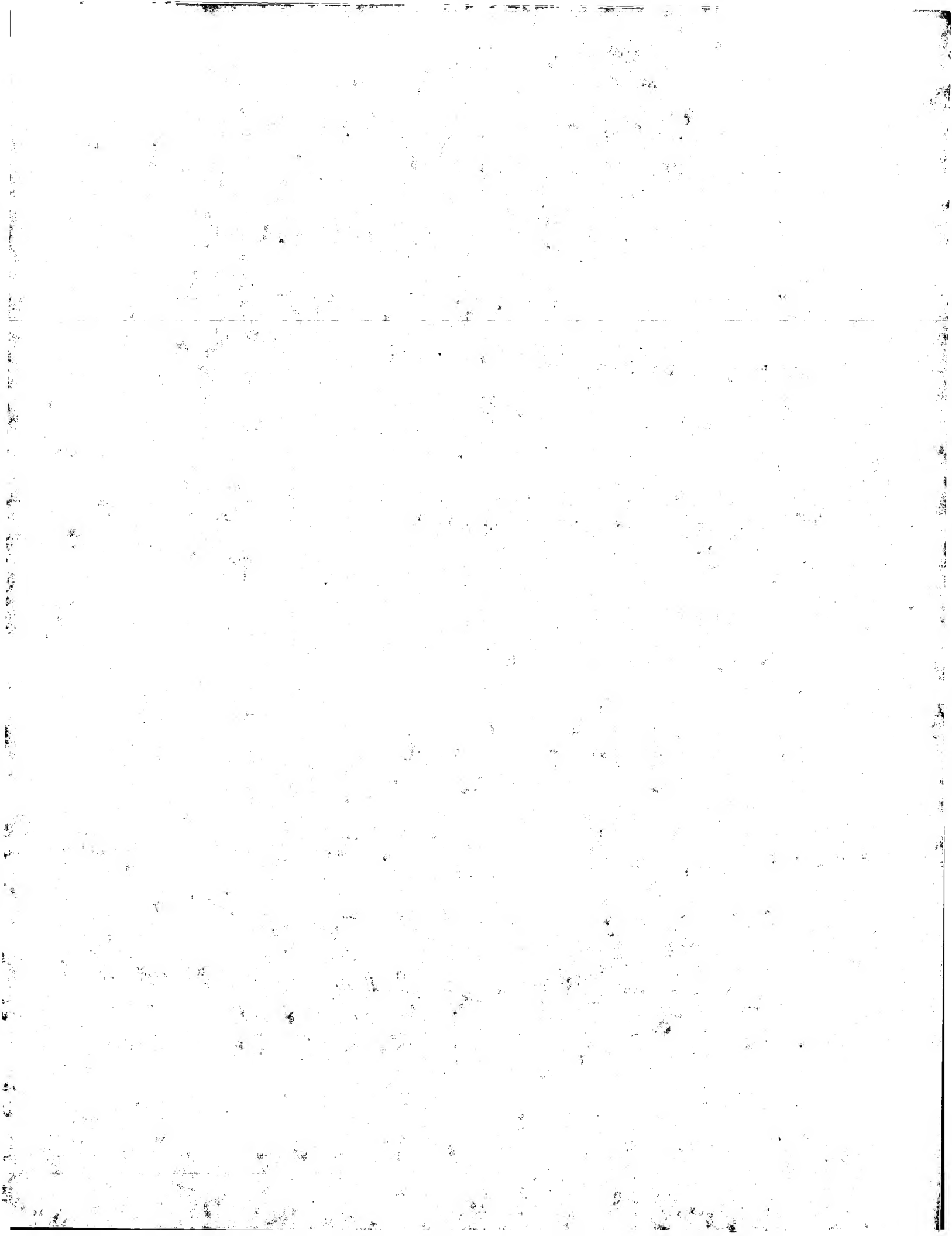
Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.**



(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
19 April 2001 (19.04.2001)

PCT

(10) International Publication Number  
**WO 01/28298 A1**

(51) International Patent Classification<sup>7</sup>: **H05G 1/46**

Milano, I-20068 Peschiera (IT). VENTURINO, Gianfranco; Jacopo Dal Verme 7, I-20159 Milano (IT).

(21) International Application Number: **PCT/US00/27474**

(22) International Filing Date: 5 October 2000 (05.10.2000)

(74) Agents: HURA, Douglas, J. et al.; Dentsply International Inc., 570 West College Avenue, P.O. Box 872, York, PA 17404-0872 (US).

(25) Filing Language: English

(81) Designated State (*national*): CA.

(26) Publication Language: English

(30) Priority Data:  
60/158,706 8 October 1999 (08.10.1999) US

(84) Designated States (*regional*): European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).

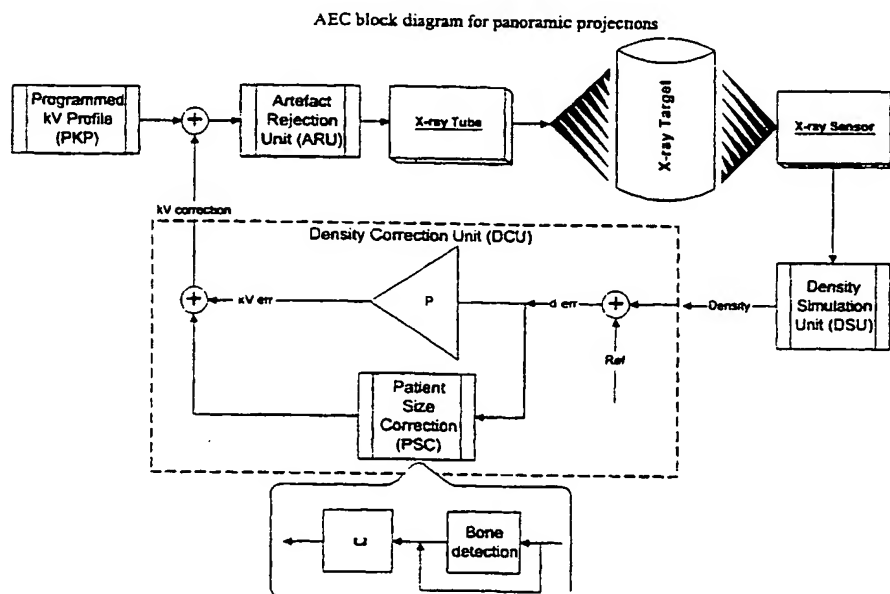
(71) Applicant: DENTSPLY INTERNATIONAL INC.  
[US/US]; 570 West College Avenue, P.O. Box 872, York, PA 17405-0872 (US).

Published:  
— With international search report.

(72) Inventors: RINALDI, Gerardo; Setembrini 48, I-20124 Milano (IT). ROTONDO, Giuseppe; Bixio, 8, Borromeo

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: AUTOMATIC EXPOSURE CONTROL FOR DENTAL PANORAMIC AND CEPHALOGRAPHIC X-RAY EQUIPMENT



(57) Abstract: An apparatus and method used in dental panoramic and cephalographic x-ray equipment provides automatic adjustment of the pre-programmed exposure factors in order to obtain optimisation of the grey scale of the latent image on various kinds of x-ray detectors, such as the radiographic film or x-ray image detector plates. A sensor, such as photo diodes, detects the intensity of the radiation passed through the patient and incident on the x-ray detector and feeds it to a control unit. The control unit provides a computed simulation of the latent image grey level and adjusts the programmed exposure factors in order to establish the grey level of the latent image at the required reference value.

**AUTOMATIC EXPOSURE CONTROL  
FOR DENTAL PANORAMIC AND CEPHALOGRAPHIC X-RAY  
EQUIPMENT**

5

**BACKGROUND OF THE INVENTION**

In dental panoramic and cephalographic radiography it is of utmost importance to ensure by an automatic method the optimal density of the latent image on the image detector, to allow proper detection of the anatomical details and consistency of the diagnostic outcome, and to minimise the need of repeating the x-ray examination so imparting unnecessary dose to the patient.

In dental panoramic radiography, using narrow beam scanning technique, the density is greatly influenced by (1) the individual patient characteristics (age, sex, race and size), particularly on the bone structure where osteoporosis phenomena may be present. Additionally (2) great variations in density occur during the exposure, caused by the different x-ray transmission of the various anatomical regions (temporo-mandibular joint, styloid process, hyoid bone, spine vertebrae, etc.) exposed during the scanning process. Finally (3) various irregularities in the patient denture (amalgam fillings, implants, missing teeth, etc.) may induce false detection and consequently erroneous operation of the automatic exposure control apparatus. While the automatic exposure control apparatus should effectively correct the density variations of the first two kinds, it is desirable that it has a high level of rejection for variations of the third kind (artefacts).

In cephalography, being a stationary radiographic technique, mainly density variations of the first kind are present, in conjunction also with the

different patient projections (antero-posterior or latero-lateral).

One important feature is that the automatic exposure control apparatus can operate effectively in the several projection modalities (standard and child projections, transversal slicing, temporo-mandibular joint  
5 projections, sinus projections, frontal and orthogonal projections, cephalography antero-posterior and latero-lateral) as provided by the modern dental x-ray panoramic and cephalographic equipments.

Another desirable feature is that the automatic exposure control apparatus is able to compensate for the different sensitivities of the  
10 compatible image detectors (radiographic film speed factor, phosphor plate x-ray detectors, etc.).

Prior art automatic exposure apparatus for panoramic x-ray equipment determine imaging parameters (e.g. kV and mA of an x-ray tube, speed of the film drive or of the rotating arm supporting the x-ray tube and the film drive) by  
15 measuring the radiation passed through a patient either on the basis of a single sample taken at predefined imaging moment, or by continuous measurement, or by identification and measuring on a selected portion of the jaw (preferably the ramus of the mandibula).

Automatic exposure control by correction of the x-ray tube voltage (kV)  
20 is preferable, as it has faster response and provides x-ray energy modulation, with varying penetrating power. Adjustment of the speed of rotating arm or film drive requires huge computational capability, not practical in case of multi-projection equipment. Automatic exposure controls where adjustments are effected on the basis of a single sample are very sensitive to variations in the  
25 positioning of the patients and do not compensate for differences in the

anatomy of the same. Automatic exposure apparatus with continuous control are very critical, due to the intrinsic difficulty in providing accurate and reproducible dynamic correction in all the anatomical regions, particularly in the spine, without producing asymmetry of the image density or other undesirable effects (vertical bands, artefact shadows, etc.). Identification of particular bone locations is restricted to regions with well defined anatomical structure and cannot be easily extended to varying anatomies as practically needed in multi-projection equipment.

## 10 SUMMARY OF THE INVENTION

The object of the invention is an automatic exposure control apparatus and method for dental panoramic and cephalographic x-ray equipment, capable of producing optimisation of the grey scale of the latent image in a consistent and reproducible way for all the available projections and regardless of the patient size and anatomy, providing high level of rejection of the artefacts generated by structures inserted into the patient denture.

The invention is founded on the following basic assumptions:

- (a) in panoramic projections the optimal controlled parameter is the tube voltage (kV), for its faster dynamic response and varying penetrating power; in cephalographic projections the optimal controlled parameter is the exposure time, which is directly proportional to the output dose.
- (b) both in panoramic and cephalographic projections it is preferable that the user selects the patient size, so pre-setting a programmed value of the controlled parameter.

(c) in panoramic projections, due to inherent criticality induced by the individual anatomic differences, the variance in positioning of the patient, the presence of irregular structures in the patient denture, it is wise to support the automatic exposure control operation with  
5 a variable kV profile pre-programmed for each available projection.

(d) for increased safety and reliability the automatic exposure control operates in a limited range around the programmed value (and programmed profile) of the controlled parameter.

Herefollowing is a description in greater detail of the invention, based  
10 on the exemplary embodiment illustrated in the attached drawings. By the disclosure and the appended claims the features and innovations of the invention will be outlined.

#### DESCRIPTION OF DRAWINGS AND TABLES

15 Table1 shows the status of enable of the functional units in the different operational phases

Fig. 1 is a system diagram showing a x-ray equipment incorporating the apparatus of the invention;

Fig.2a/2b is a block diagram showing the basic functional units of the  
20 apparatus of the invention;

Fig. 3 is a plot showing an example of a programmed kV profile.

Fig. 4 shows the time sequence during Cephalography.

#### DETAILED DESCRIPTION

25 The x-ray diagnostic system illustrated in Fig.1 is capable of

performing dental panoramic radiography, using narrow beam scanning technique, transversal slicing radiography, using linear tomographic technique, and cephalography, using conventional x-ray technique.

It is equipped with a x-ray generator 1, mechanically coupled to the image receptor 2a by the rotating arm. The rotating arm rotates around the patient during the panoramic and transversal slicing scanning process. The image receptor is moved with variable speed during the panoramic sequence. The details of the panoramic technique are well known to those experienced in the matter, and will not further described.

In case of cephalography, the same x-ray generator 1 can be oriented towards a second image receptor 2b.

The Radiation Detectors 3, composed of one or more detectors such as photo diodes, are located behind the image detectors, one for panoramic and transversal slicing, and one for cephalography. The vertical and horizontal positions of the radiation detectors are located within the x-ray beam and are chosen to provide a signal corresponding to the absorption of the bone structure, namely the maxillary bone for the panoramic projections and the temporal bone for the cephalographic projection, which are of major diagnostic interest for the dentist.

The output signals of Radiation Detectors 3 are amplified by amplifiers 4 and the amplified signals are fed to the control unit 5.

The control unit 5 provides analog to digital conversion at fast rate (e.g. every 40 msec) of the measured value of radiation intensity, and correction of the exposure factors, e.g. the tube voltage, in synchronisation with the imaging process.



The automatic exposure control apparatus (herefollowing AEC) incorporates several functions, which are illustrated in Fig.2 and are described below:

(a) PKP (Programmed kV profile).

5        This functional unit is applicable only to panoramic projections. It provides a pre-programmed modulated profile of the tube voltage (kV) during the imaging process, to be used as a reference for the AEC operation in the panoramic projections. A different PKP may be available for each available projection, and eventually for each available patient  
10       size.

PKP has been implemented by adopting a tube voltage profile which has proven by test on phantom and in vivo to provide an optimised image density, e.g. at the roots of the teeth, along the various anatomical regions, such as the rami of the mandibula and the spine.

15       (b) DSU (Density Simulation Unit).

This functional unit provides simulation of the density of the latent image on the x-ray receptor. It processes the radiation detector amplified signal either by combining it with the speed of the cassette drive in case of panoramic projections, or by integrating it in the time in case of  
20       cephalographic projections.

Preferably the parameters of the simulation (i.e. the gain) are separately adjustable for each different projection and for the sensitivity of each different image detector type (e.g. the speed of the applied screen-film combination, or the phosphor plate sensitivity).

25       In order to introduce a safety control and inhibition of the AEC

operation, upper and lower limits may be applied to the range of acceptable density values. Such limits may preferably be adjustable for each different projection.

(c) DCU (Density Correction Unit).

5        This functional unit processes the density simulation output generated by the DSU, by comparing it with a reference value and generating a density error.

Then the computational unit translates the density error into a correction of the applicable imaging parameter.

10        In case of panoramic projections the density error is translated into a correction of the tube voltage, by applying to it a proportional control and/or an integrative control named PSC and described below. In panoramic projections such correction is applied with certain upper and lower limits over the Programmed kV Profile, as further described below within the ARU functional unit. Preferably the proportional control will be  
15        programmable for each projection.

In cephalographic projections the density error is translated into a correction of the exposure time, by comparing the actual integrated density level generated by the DSU with a threshold value, and generating  
20        a stop of x-ray emission in case that the threshold is exceeded.

(d) PSC (Patient Size Correction).

This functional unit is applicable only to panoramic projections. It performs on selected portions of the anatomy an integration of the density error generated by the DCU and generates in real-time a correction  
25        quantity to be added to the Programmed kV Profile.

The PSC function is intended to operate in a region of measurement corresponding to the anatomical region where there is a homogeneous bone structure to which the absorption characteristic of the patient can be correlated.

5 In a first embodiment the location of the region of measurement may be done by applying a pre-programmed interval, during which the density correction values within an acceptable range are integrated.

In another embodiment the start of the region of measurement may be located automatically during the imaging process, by performing an  
10 analysis of the density simulation generated by the DSU and its derivative, and identifying the negative transition of the derivative corresponding to start of the bone structure. After that the start of the region of measurement is identified, a pre-programmed interval is applied, during which the density correction values within an acceptable range are  
15 integrated.

The PSC operation is preferably adjusted for each projection, by using programmable parameters for the start and end of the seek zone, the gain of the integrator, and the range of acceptable values of samples.

(e) ARU (Artefacts rejection unit)

20 This functional unit operates both in panoramic and cephalographic projections. It aims to perform a rejection of spurious correction of the imaging parameter, as may be generated by artefacts of non-anatomical structures inserted into the patient denture, such as amalgam fillings, implants, etc..

25 In panoramic projections it analyses the correction of the imaging

parameter generated by the DCU and its derivative, and rejects those samples exceeding threshold limits both in absolute value and in slew rate, by clipping them to a predefined level. The ARU operation is preferably adjusted for each panoramic projection, by using programmable threshold limits.

In cephalographic projections it prevents the exposure time to exceed a predefined maximum level, by generating a stop of x-ray emission when such maximum level would be exceeded. The ARU operation is preferably adjusted for each cephalographic projection, by using a programmable exposure time limit as a percentage of the initial exposure time setting.

Based on the functional units above, the following operational phases are applicable in panoramic and transversal slicing projections:

A) Phase corresponding to the initial part of the imaging process, where the PKP corresponding the patient size selected by the user is applied, and the DSU, DCU and ARU functions are activated. The PSC control is inactive, while the P control (proportional) of the DCU may be active.

B) Phase where the PSC control is active, to provide a correction for the patient size. At the end of this phase the PKP will result shifted upwards or downwards depending on the values of the integrated samples. The PKP, DSU, DCU and ARU are active. The P control of the DCU may be inactive.

C) Phase where the PKP corrected with the additive term generated by the PSC is applied. The DSU, DCU and ARU functions are active. The PSC control is inactive, while the P control of the DCU may be active to provide further correction in specific anatomical regions, such as the spine.

The sequence of phases is illustrated in figure 3, together with an exemplary plot of the actual PKP. The status of enable of the functional units is illustrated in Table 1 below.

Phase	PKP	DSU	DCU	ARU	PSC	P
A	Y	Y	Y/N	Y	N	Y/N
B	Y	Y	Y	Y	Y	Y/N
C	Y	Y	Y/N	Y	N	Y/N

Y = ACTIVE                      N = INACTIVE

5            In Cephalography the imaging parameters (tube voltage, tube current, exposure time) are predefined by the user through the user interface, either manually or pre-programmed depending on the selection of the patient size. Preferably the imaging parameters (i.e. the tube current) will be corrected depending on the sensitivity of the image detector (i.e. the speed of the film-screen combination). During the imaging process the tube voltage and current will stay stable at the values defined by the user, while the exposure time will be corrected by the AEC.

Based on the functional units above, the following operational phases are applicable in cephalographic projections:

- 15            A) Phase corresponding to the initial part of the imaging process. DSU, DCU and ARU are active. The density simulation generated by the DSU is analysed by the DCU and compared with the threshold value.
- B) Phase corresponding to the stop of the exposure. If the integrated

density simulation generated by the DSU is exceeding the threshold level, then the DCU generates the stop of the exposure.

If the exposure time exceeds the programmed maximum limit then the ARU generates the stop of x-ray emission. After the end of

5 exposure all functions get inactive.

The time sequence during Cephalography is illustrated in Figure 4.

We claim:

1. In panoramic and cephalographic dental x-ray equipment having a x-ray radiation source and image receptors for panoramic and cephalographic radiography, which are capable of performing multiple projections where  
5 the density of the latent image can be controlled by the imaging parameters, an apparatus for the automatic control of the exposure comprising:

1.1. a x-ray radiation detector located behind the image receptor in a useful location to provide a signal corresponding to the x-ray  
10 absorption characteristic of the bone structure; and

1.2. a control unit analysing the radiation detector signal and providing adjustment of the imaging parameters, by incorporating the following functional units:

(a) PKP (Programmed kV profile).

15 Functional unit providing pre-programmed modulated profile of the tube voltage (kV) during the imaging process, correlated to the standard patient anatomy.

It is used as a reference for the AEC operation during the imaging process in the panoramic projections.

20 (b) DSU (Density Simulation Unit).

Functional unit providing simulation of the density of the latent image on the x-ray receptor. It processes the radiation detector amplified signal either by combining it with the speed of the cassette drive in case of panoramic projections, or by  
25 integrating it in the time in case of cephalographic projections.

(c) DCU (Density Correction Unit).

Functional unit processing the DSU density simulation output by comparing with a reference value, and generating a density error.

5                   Then the computational unit translates the density error into a correction of the applicable imaging parameter.

                  In case of panoramic projections the density error is translated into a correction of the tube voltage, by applying to it a proportional control and/or an integrative control named PSC  
10                   and described below. In panoramic projections such correction is applied with certain upper and lower limits over the Programmed kV Profile, as further described below within the ARU functional unit.

                  In cephalographic projections the density error is  
15                   translated into a correction of the exposure time, by comparing the actual integrated density level generated by the DSU with a threshold value, and generating a stop of x-ray emission in case that the threshold is exceeded.

(d) PSC (Patient Size Correction).

20                   Functional unit operating in panoramic projections and performing on selected portions of the anatomy corresponding to a region of measurement an integration of the density error generated by the DCU and generating in real-time a correction quantity to be added to the Programmed kV Profile.

25                   In the region of measurement the density correction



values are analysed and samples within the acceptance range are integrated.

(e) ARU (Artefacts rejection unit)

Functional unit providing rejection of spurious correction of the imaging parameter, as may be generated by artefacts of non-anatomical structures inserted into the patient denture, such as amalgam fillings, implants, etc..

In panoramic projections it analyses the correction of the imaging parameter generated by the DCU and its derivative, and rejects those samples exceeding threshold limits both in absolute value and in slew rate, by clipping them to a predefined level.

In cephalographic projections it prevents the exposure time to exceed a predefined maximum level, by generating a stop of x-ray emission when such maximum level would be exceed.

and a method for the automatic control of the exposure in panoramic projections, including the steps of:

A) selecting by the user interface the patient size, by which the initial setting of the imaging parameter and the programmed kV profile (PKP) are defined; and

B) initiating the imaging process, where the PKP corresponding the patient size selected by the user is applied; the DSU, DCU and ARU functions are active; the PSC control is inactive, while the P control (proportional) of the DCU may be active.

- 5 C) activating in the applicable region of measurement the PSC function, to provide a real time correction of the PKP corresponding to the actual patient size. At the end of this phase the PKP will result shifted upwards or downwards depending on the values of the integrated samples; the PKP, DSU, DCU and ARU are active; The P control of the DCU may be inactive.
- 10 D) Disabling the PSC function, while the PKP corrected with the additive term generated by the PSC is applied; the DSU, DCU and ARU functions are active. The PSC control is inactive, while the P control of the DCU may be active to provide further correction in specific anatomical regions, such as the spine.

and a method for the automatic control of the exposure in cephalographic projections, including the steps of:

- 15 A) selecting through the user interface the imaging parameters (tube voltage, tube current, exposure time), either manually or pre-programmed depending on the selection of the patient size; and
- 20 B) initiating the imaging process, where the tube voltage and current are kept stable at the values pre-set by the user; DSU and DCU and ARU are active; the density simulation generated by the DSU is analysed by the DCU and compared with the threshold value.
- C) Terminating the imaging process by generation of a stop of exposure signal.

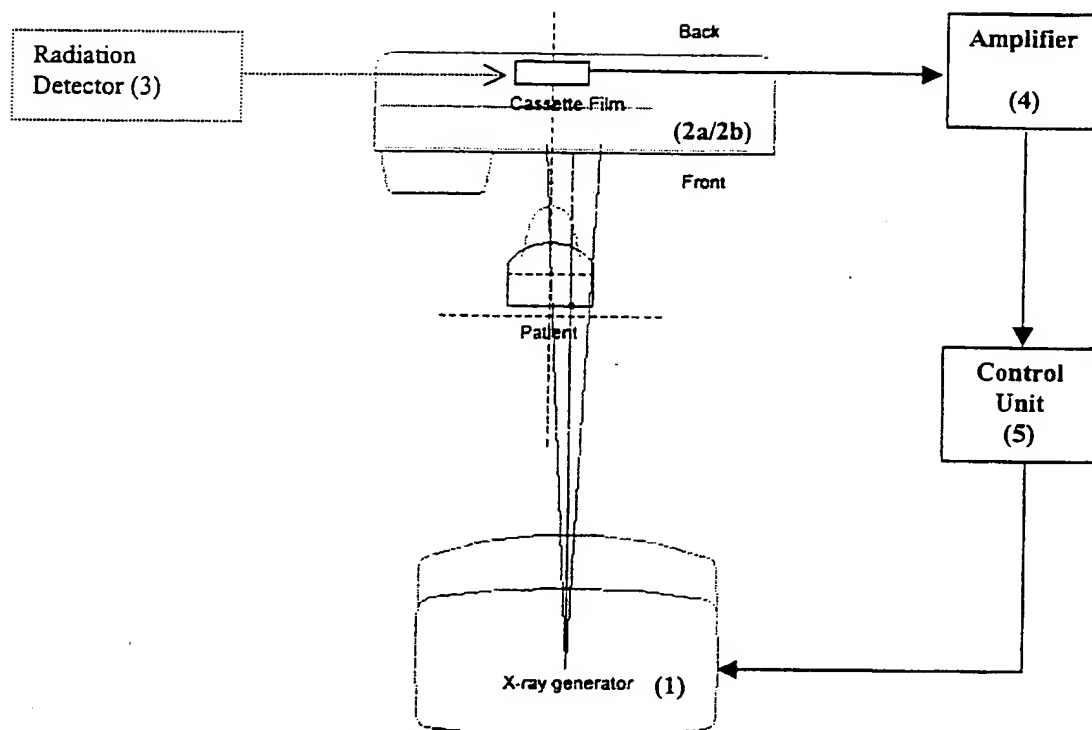
25 If the integrated density simulation generated by the DSU is exceeding the threshold level, then the DCU generates the stop of the exposure. If the

exposure time exceeds the programmed maximum limit then the ARU generates the stop of x-ray emission. After the end of exposure all functions get inactive.

2. The apparatus and method as set forth in claim 1 wherein a different PKP  
5 may be programmable for each panoramic projection, and eventually for each patient size.
3. The apparatus and method as set forth in claim 1 wherein a range of acceptable density values is applied to the DSU density simulation, and such range of acceptable density values is programmable for each  
10 projection.
4. The apparatus and method as set forth in claim 1 wherein the parameters for the DSU simulation (e.g. the gain) are programmable for each projection.
5. The apparatus and method as set forth in claim 1 wherein the parameters  
15 for the DSU simulation (e.g. the gain) are programmable for each compatible image detector type, by taking into account its particular sensitivity (e.g. the speed of the applied screen-film combination).
6. The apparatus and method as set forth in claim 1 wherein the parameters for the DSU simulation (e.g. the gain) are programmable for each  
20 compatible image detector holder type (e.g. the film cassette), by taking into account its particular x-ray transmission characteristic.
7. The apparatus and method as set forth in claim 1 wherein the parameters for the proportional control of the DCU correction (e.g. the gain) are programmable for each projection.
- 25 8. The apparatus and method as set forth in claim 1 wherein the parameters

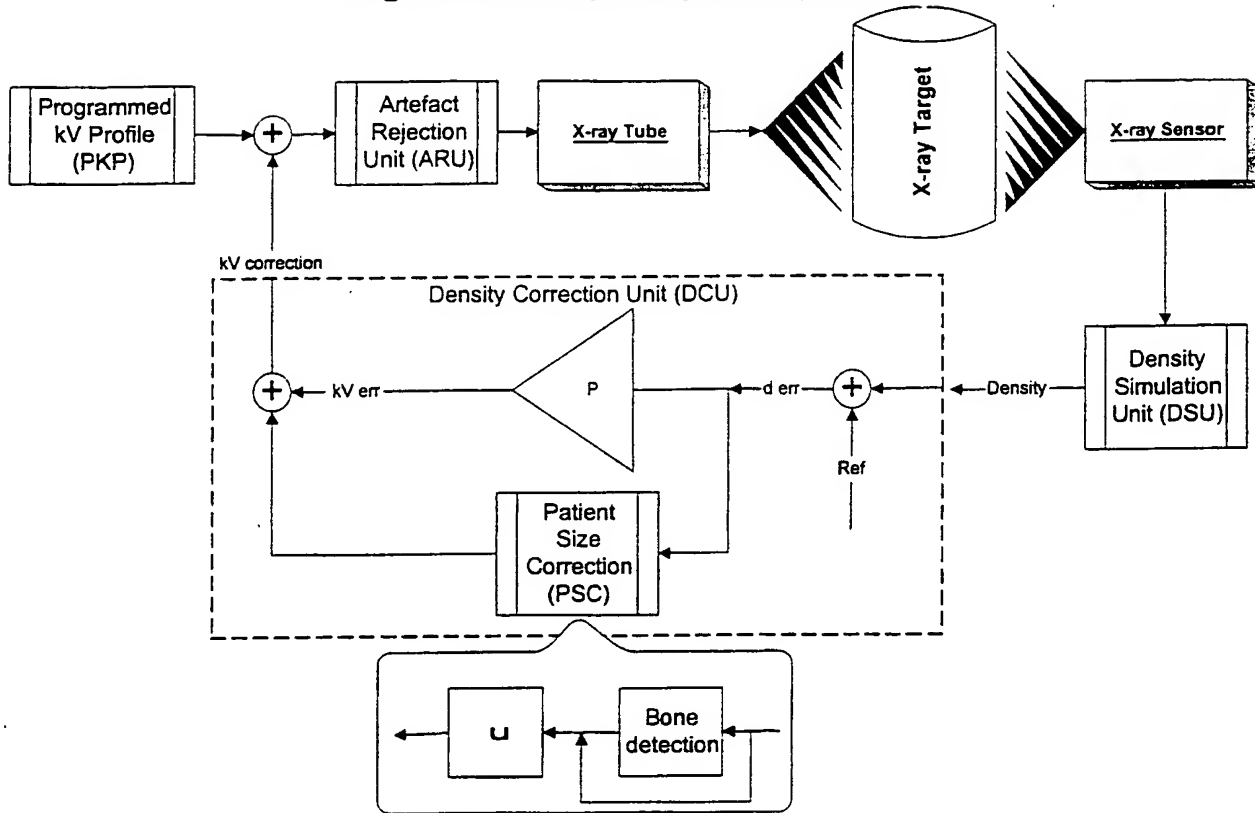
for the PSC operation (e.g. start and end of region of measurement, gain of the integrator, range of acceptable values of samples) are programmable for each projection.

9. The apparatus and method as set forth in claim 1 wherein the start of the PSC region of measurement may be located automatically during the imaging process, by performing an analysis of the density simulation generated by the DSU and its derivative, and identifying the negative transition of the derivative corresponding to start of the bone structure.
10. The apparatus and method as set forth in claim 1 wherein the parameters for the ARU operation (e.g. threshold limits of the imaging parameter generated by the DCU and its derivative in panoramic projections, exposure time limit in cephalographic projections) are programmable for each projection.

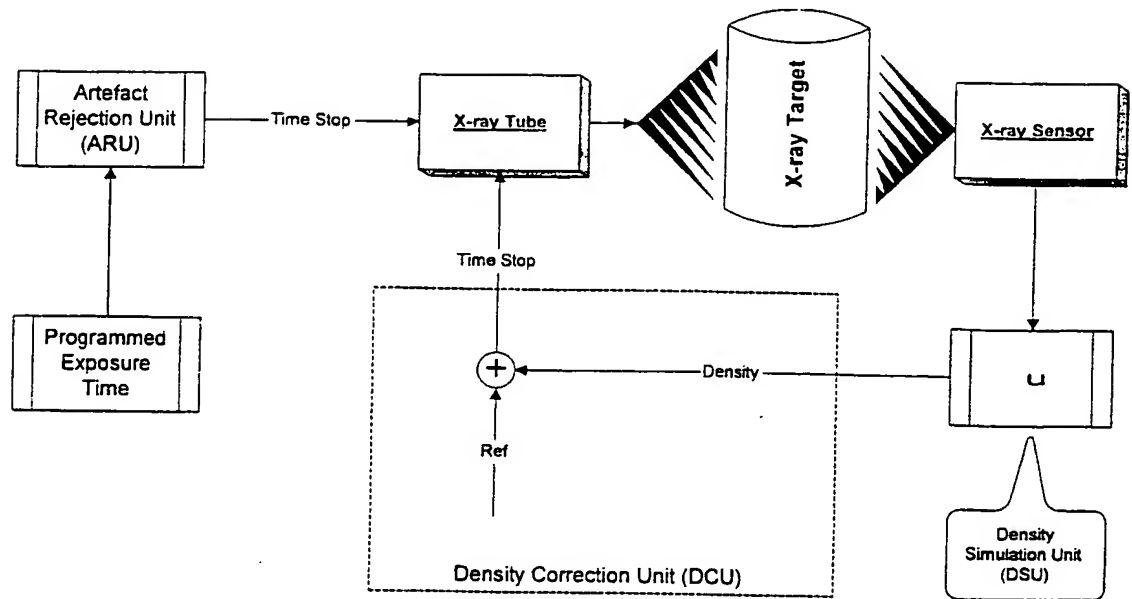


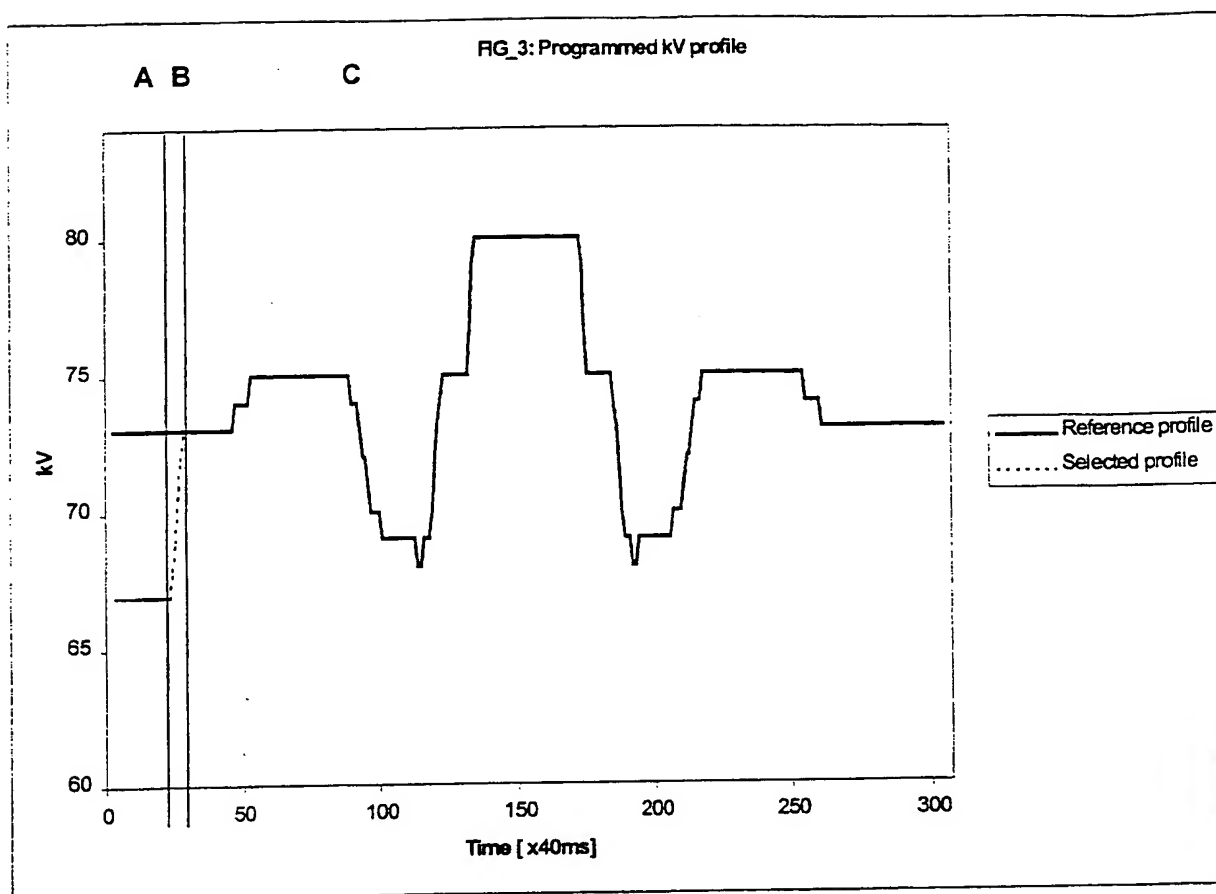
FIG\_1: System diagram

FIG\_2a: AEC block diagram for panoramic projections

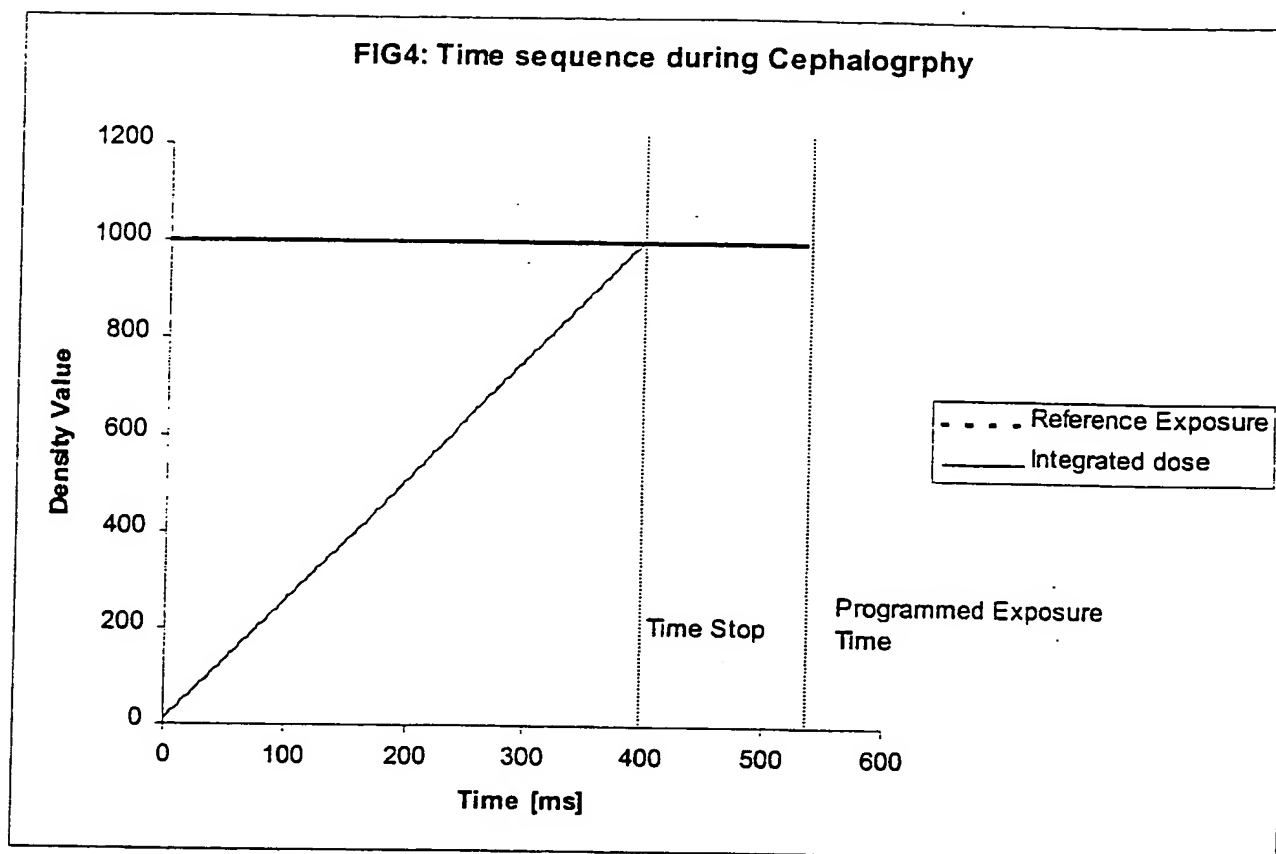


FIG\_2b: AEC block diagram for cepahlographic projections









## INTERNATIONAL SEARCH REPORT

Int. l. Application No

PCT/US 00/27474

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 H05G1/46

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 H05G

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5 425 065 A (JAERVENIN ERKKI) 13 June 1995 (1995-06-13) the whole document	1
A	US 4 589 121 A (MAKINO TAKAO) 13 May 1986 (1986-05-13) the whole document	1

☐ Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

## \* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*&\* document member of the same patent family

Date of the actual completion of the international search

17 January 2001

Date of mailing of the international search report

24/01/2001

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Capostagno, E

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 00/27474

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 5425065 A	13-06-1995	FI 913897 A	20-02-1993
		DE 4222941 A	25-02-1993
		JP 5192329 A	03-08-1993
US 4589121 A	13-05-1986	JP 1595851 C	27-12-1990
		JP 2018091 B	24-04-1990
		JP 60160947 A	22-08-1985
		DE 3503465 A	01-08-1985
		FI 850415 A,B,	02-08-1985

